

**Sarda Energy & Minerals Ltd.**

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CIN: L27100MH1973PLC016617

**Format of holding of specified securities**

Name of Listed Entity:

Sarda Energy & Minerals Ltd.

Scrip Code :

504614 (BSE), SARDAEN (NSE)  
Equity Shares

Share Holding Pattern Filed under : Reg. 31(1)(a)/Reg.31(1)(b)/Reg.31 (1) ( c)

31(1)(b)

a.If under 31 (1)(b) then indicate the report for quarter ending :

31.12.2015

b. If under 31(1)(c) then indicate date of allotment/extinguishment

Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information:-

Particulars		Yes*	No*
1	Whether the Listed Entity has issued any partly paid up shares?		<b>NO</b>
2	Whether the Listed Entity has issued any Convertible Securities or Warrants?		<b>NO</b>
3	Whether the Listed Entity has any shares against which depository receipts are issued?		<b>NO</b>
4	Whether the Listed Entity has any shares in locked-in?		<b>NO</b>
5	Whether any shares held by promoters are pledge or otherwise encumbered?		<b>NO</b>

\* If the Listed Entity selects the option 'No' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.

5 The tabular format for disclosure of holding of specified securities is as follows:-

Table I - Summary statement holding of specified securities

Category (I)	Category of shareholder (II)	Nos of shareholders (III)	No of fully paid-up equity shares held (IV)	No of Partly paid-up equity shares held (V)	No of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			No of shares Underlying Outstanding convertible securities (Including Warrants) (X)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=(VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
								No of Voting Rights					Total as a % of (A+B+C)	No. (a)	As a % of total shares held (b)	No. (a)		As a % of total shares held (b)
								Class eg: X	Class eg: Y	Total								
(A)	Promoter & Promoter Group	21	25648079			25648079	71.29	25648079	0	25648079	71.29						25648079	
(B)	Public	14949	10330240			10330240	28.71	10330240	0	10330240	28.71						9837758	
(C)	Non Promoter- Non Public	0	0			0	0	0	0	0	0						0	
(C1)	Shares underlying DRs																	
(C2)	Shares held by Employee Trusts																	
	<b>Total</b>	<b>14970</b>	<b>35978319</b>			<b>35978319</b>	<b>100.00</b>	<b>35978319</b>	<b>0</b>	<b>35978319</b>	<b>100.00</b>	<b>0</b>	<b>0</b>				<b>35485837</b>	

**Table II - Statement showing shareholding pattern of the Promoter and Promoter Group**

	Category & Name of the shareholders (I)	PAN (II)	Nos of shareholder (III)	No of fully paid-up equity shares held (IV)	Partly paid-up equity shares held (V)	No of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares(calculated as per SCRR, 1957) As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			No of shares Underlying Outstanding convertible securities (Including Warrants) (X)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=(VII)+(X) as a % of (A+B+C2)	Number of Locked in shares (XII)		Number of shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
									No of Voting Rights					Total as a % of Total Voting Rights	No. (a)	As a % of total shares held (b)	No. (a)		As a % of total shares held (b)
									Class eg: X Equity	Class eg: Y	Total								
(1)	<b>Indian</b>																		
(a)	<b>Individuals/H.U.F</b>		17	8153428			8153428	22.66	8153428		8153428	22.66							8153428
1	GHANSHYAM RAMKISHORE SARDA			1392378			1392378	3.87	1392378		1392378	3.87							1392378
2	KAMAL KISHORE SARDA			1309149			1309149	3.64	1309149		1309149	3.64							1309149
3	JULGALKISHORE RAMKISHORE SARDA			1172365			1172365	3.26	1172365		1172365	3.26							1172365
4	SHAKUNTALA SARDA			1014518			1014518	2.82	1014518		1014518	2.82							1014518
5	PANKAJ SARDA			691107			691107	1.92	691107		691107	1.92							691107
6	VEENADEVI GHANSHYAM SARDA			702350			702350	1.95	702350		702350	1.95							702350
7	UMA SARDA			489425			489425	1.36	489425		489425	1.36							489425
8	PREMLATA JUGALKISHORE SARDA			468910			468910	1.30	468910		468910	1.30							468910
9	KAMAL KISHORE RATHI			251731			251731	0.70	251731		251731	0.70							251731
10	ANANT SARDA ANANT			49140			49140	0.14	49140		49140	0.14							49140
11	JUGAL KISHORE SARDA (HUF)			21716			21716	0.06	21716		21716	0.06							21716
12	SHASHI RATHI			19767			19767	0.05	19767		19767	0.05							19767
13	MANISH J SARDA			237570			237570	0.66	237570		237570	0.66							237570
14	ADITYA GHANSHYAM SARDA			112840			112840	0.31	112840		112840	0.31							112840
15	RAGHAV SARDA			112840			112840	0.31	112840		112840	0.31							112840
16	NEERAJ JUGALKISHORE SARDA			98490			98490	0.27	98490		98490	0.27							98490
17	ADITI GHANSHYAM SARDA			9132			9132	0.03	9132		9132	0.03							9132
(b)	Central/State Government(s)																		
(c)	Financial Institutions/Banks																		
	Name (xyz ...)																		
(d)	Any Other (Specify)																		
	Name (xyz ...)																		
(j)	<b>Bodies Corporate</b>		4	17494651			17494651	48.63	17494651		17494651	48.63							17494651
1	CHHATTISGARH INVESTMENTS LTD			12294179			12294179	34.17	12294179		12294179	34.17							12294179
2	SARDA AGRICULTURE & PROP. PVT. LTD			2635150			2635150	7.32	2635150		2635150	7.32							2635150
3	PRACHI AGRICULTURE & PROP. PVT. LTD			1565322			1565322	4.35	1565322		1565322	4.35							1565322
4	CHHATTISGARH INVESTMENTS LTD.			1000000			1000000	2.78	1000000		1000000	2.78							1000000
	<b>Sub- Total (A)(1)</b>		21	25648079			25648079	71.29	25648079		25648079	71.29							25648079
(2)	<b>Foreign</b>																		
	Individuals (Non-Resident Individuals/ Foreign																		
a)	Individuals																		
	Name (xyz ...)																		
b)	Government																		
	Name (xyz ...)																		
c)	Institutions																		
	Name (xyz ...)																		
d)	Foreign Portfolio Investor																		
	Name (xyz ...)																		
(e)	Any Other (Specify)																		
	Name (xyz ...)																		
	<b>Sub- Total (A)(2)</b>		0	0			0	0	0		0	0							0
	<b>Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)</b>		21	25648079			25648079	71.29	25648079		25648079	71.29							25648079

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

Note:

(1) PAN would not be displayed on website of Stock Exchange(s).

(2) The term "Encumbrance" has the same meaning as assigned under regulation 28(3) of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011.

Table III - Statement showing shareholding pattern of the Public Shareholder

Category & Name of the shareholders (I)	PAN (II)	Nos of shareholder (III)	No of fully paid-up equity shares held (IV)	Partly paid-up equity shares held (V)	No of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares(calculated as per SCRR, 1957) As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			No of shares Underlying Outstanding convertible securities (Including Warrants) (X)	Total Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)	Number of Locked in shares (XII)		Number of shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
								No of Voting Rights					Total as a % of Total Voting Rights	No. (a)	As a % of total shares held (b)	No. (Not applicable) (a)		As a % of total shares held (Not applicable)(b)
								Class eg: X	Class eg: Y	Total								
<b>(1) Institutions</b>																		
(a) Mutual Fund/UTI		1	650			650	0.00	650	0	650	0.00		0	0			0	
i) ICI Prudential Dynamic Plan	AAAAI0038F	1	1436994			1436994	3.99	1436994	0	1436994	3.99		0	0			1436994	
(b) Venture Capital Funds													0	0				
Name (xyz ....)																		
(c) Alternate Investment Funds													0	0				
Name (xyz ....)																		
(d) Foreign Venture Capital Investors													0	0				
Name (xyz ....)																		
(e) Foreign Portfolio Investors		2	31569			31569	0.09	31569		31569	0.09		0	0			31569	
Name (xyz ....)																		
(f) Financial Institutions Banks		7	63854			63854	0.18	63854	0	63854	0.18		0	0			61254	
Name (xyz ....)																		
(g) Insurance Companies		1	250			250	0.00	250	0	250	0.00		0	0			0	
(h) Provident Funds/Pension Funds																		
Name (xyz ....)																		
(i) Any Other (specify)																		
1) Foreign Institutional Investors		2	25181			25181	0.07	25181	0	25181	0.07						25181	
<b>Sub- Total (B)(1)</b>		<b>14</b>	<b>1558498</b>	<b>0</b>	<b>0</b>	<b>1558498</b>	<b>4.33</b>	<b>1558498</b>	<b>0</b>	<b>1558498</b>	<b>4.33</b>	<b>0</b>	<b>0</b>	<b>0</b>			<b>1554998</b>	
<b>(2) Central Government/State Government(s)/President of India</b>																		
Name (xyz ....)		0	0			0	0						0	0			0	
<b>Sub- Total (B)(2)</b>		<b>0</b>	<b>0</b>			<b>0</b>	<b>0.00</b>						<b>0</b>	<b>0</b>			<b>0</b>	

<b>(3) Non- Institutions</b>																
(a)	<b>Individuals -</b>															
	i. Individual shareholders holding nominal share capital up to Rs.2 lakhs.															
		14297	3859828		3859828	10.73	3859828	0	3859828	10.73			0	0		3389886
	ii. Individual shareholders holding nominal share capital in excess of Rs.2 lakhs.															
		16	696066		696066	1.93	696066	0	696066	1.93			0	0		696066
(b)	NBFCs registered with RBI															
	Name (xyz ....)															
(c)	Employee Trust															
	Name (xyz ....)															
(d)	Overseas Depositories (holding DRs) (balancing figure)															
	Name (xyz ....)															
e)	Any Other (pl. specify)															
	i) Directors & Directors Relatives															
		15	111037		111037	0.31	111037		111037	0.31			0	0		103196
	ii) Bodies Corporate															
		499	2231972		2231972	6.20	2231972	0	2231972	6.20			0	0		2220773
	iii) Individual NRI- Rep.- Non Rep.															
		107	67948		67948	0.19	67948	0	67948	0.19			0	0		67948
	iv) Overseas Corporate Bodies															
	a) Asia Minerals Ltd.	AAJCA0097M	1	1804891		1804891	5.02	1804891	0	1804891	5.02		0	0	0	1804891
<b>Sub- Total (B)(3)</b>			14935	8771742		8771742	24.38	8771742	0.00	8771742	24.38		0	0	0	0.00
<b>Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)</b>			14949	10330240		10330240	28.71	10330240	0	10330240	28.71		0	0	0	0.00

Details of the shareholders acting as persons in Concert including their Shareholding (No. and %):

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

**Note:**

(1) PAN would not be displayed on website of Stock Exchange(s).

(2) The above format needs to be disclosed along with the name of following persons: Institutions/Non Institutions holding more than 1% of total number of shares.

(3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available and the balance to be disclosed as held by custodian,

Table IV - Statement showing shareholding pattern of the Non Promoter-Non Public Shareholder

Category & Name of the shareholders (I)	PAN (II)	Nos of shareholder (III)	No of fully paid-up equity shares held (IV)	Partly paid-up equity shares held (V)	No of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares(calculated as per SCRR, 1957) As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			No of shares Underlying Outstanding convertible securities (Including Warrants) (X)	Total Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=(VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
								No of Voting Rights		Total as a % of Total Voting Rights			No.	As a % of total shares held	No. (Not applicable)	As a % of total shares held (Not applicable)	
								Class eg: X	Class eg: Y								
<b>(1) Custodian/DR Holder</b>		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(a) Name of DR Holder (If available)																	
(i) abc.....																	
(ii) efg.....																	
<b>(2) Employee Benefit Trust (Under SEBI (Share based Employee Benefit) Regulations, 2014)</b>																	
(a) Name (abc.....																	
<b>Total Non-Promoter - Non Public Shareholding (C)=(C)(1)+(C)(2)</b>		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0

**Note:**

(1) PAN would not be displayed on website of Stock Exchange(s).

(2) The above format needs to disclose name of all holders holding more than 1% of total number of shares

(3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available,